

110 W. Taylor St. San Jose, CA 95110 Phone 408 279-7800 Fax 408 279-7934

June 24th, 2016

Public Utilities Commission of the State of California 505 Van Ness Avenue San Francisco, CA 94102

Advice Letter No. 491

The San Jose Water Company (U-168-W) (SJWC) hereby transmits for filing the following changes in tariff schedules applicable to its service area and which are attached hereto:

| Cal. P.U.C. <u>Sheet No.</u> | Title of Sheet | Canceling Cal. P.U.C. <u>Sheet No.</u> |
|---------------------------------|---|--|
| 1765-W | Schedule 14.1 Water Shortage Contingency Plan With Staged Mandatory Reductions and Drought Surcharges (Continued) | 1670-W |
| 1766-W | Schedule 14.1 Water Shortage Contingency Plan With Staged Mandatory Reductions and Drought Surcharges (Continued) | 1674-W |
| 1767-W | Schedule 14.1 Water Shortage Contingency Plan With Staged Mandatory Reductions and Drought Surcharges (Continued) | 1675-W |
| 1768-W | Rule No. 14.1 Water Shortage Contingency Plan (Continued) | 1661-W |
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With this advice letter San Jose Water Company (SJWC) requestes authority from the California Public Utilities Commission (CPUC) to modify the CPUC approved Rule 14.1 and Schedule 14.1. Specifically, SJWC seeks to modify the Limits on Watering Days in Stage 3 - Critical Water Reduction, as provided in Rule 14.1 and Schedule 14.1, from 2 days per week to 3 days per week, and to modify the existing drought allocations in Schedule 14.1 to implement a mandatory percentage reduction of 20%. This request is made in compliance with CPUC Resolution W-5103. This advice letter is designated a Tier II Advice Letter pursuant to Resolution W-4976.

SJWC requests that the advice letter become effective July 1, 2016. SJWC currently has non-related advice letters 488, 489, and 490 outstanding. Advice letter 487 is currently under suspension.

Background

On June 23rd, 2016, the CPUC adopted Resolution W-5103 (Attachment A). Ordering Paragraph #1 of Res W-5103 provided that "All water utilities under the jurisdiction of the California Public Utilities Commission shall comply with the Drought Emergency Water Conservation Regulation (Emergency Regulation) for statewide urban water conservation adopted by the State Water Resources Control Board by Resolution No. 2016-0029 at its meeting of May 18, 2016." The revised Emergency Regulation replaces the prior percentage reduction-based water conservation standard with a locally-developed conservation standard based on each water supplier's specific circumstances.

Subsequent to the adoption of the Emergency Regulations, on June 14, 2016, SJWC's wholesale provider, the Santa Clara Valley Water District (SCVWD), passed Resolution No. 16-55 (Attachment B) resolving that:

"the Board of Directors of the Santa Clara Valley Water District calls for a water use reduction target equal to 20 percent of 2013 water use and a restriction on outdoor watering of ornamental landscapes or lawns with potable water to a maximum of three days a week (odd numbered and no address may water on Mondays, Thursdays and Saturday; even numbered address may water on Tuesdays, Fridays, and Sundays) through January 31, 2017, effective July 1, 2016, and it is further recommended that retail water agencies, local municipalities and the County of Santa Clara continue to implement mandatory measures as needed to achieve the 20 percent water use reduction target."

Based on the guidance provided in the Emergency Regulation SJWC has self-certified at a 2% reduction. However, SJWC will use the higher mandatory conservation standard of 20% as reflected in the SCVWD Resolution No. 16-55.

Resolution W-5103, Ordering Paragraph #6 states: "Class A and B water utilities shall file an appropriate advice letter to amend their Tariff Schedule 14.1, if necessary, based upon their

compliance with the Emergency Regulation." With this advice letter filing SJWC requests authority to modify the currently active Stage 3 provisions of Rule 14.1 and Schedule 14.1 to change the Limits on Watering Days to 3 days a week and to modify the drought allocations in Schedule 14.1 to reflect the 20% reduction standard set by the SCVWD.

Proposed Tariff Revisions

SJWC's Rule 14.1 and Schedule 14.1 became effective, with CPUC approval, on June 15, 2015.¹ Simultaneous with activation of Schedule 14.1 SJWC immediately implemented Stage 3 – Critical Water Reduction and Drought Allocation and Drought Surcharges. At that time the water use reduction targeted by the SCVWD was 30%, therefore, SJWC set the drought allocations at a level based on a 30% reduction.

At this time it is necessary to remain at Stage 3 – Critical Water Reduction in Rule 14.1 and Schedule 14.1. However, Rule 14.1 and Schedule 14.1 must be revised to match the provisions of the SCVWD Resolution. Therefore, SJWC requests that:

- The Limits on Watering Days outlined in Rule 14.1: Stage 3 Critical Water Reduction section 1 and in Schedule 14.1: Stage 3 – Critical Water Reduction section 1 be modified to provide that: "Watering or irrigating of lawns, landscape or other vegetated area with potable water is limited to three days per week. Irrigation will be allowed on Monday, Thursday, and Saturday for odd numbered and numberless addresses and will be allowed on Tuesday, Friday, and Sunday for even numbered addresses
- 2. The Drought Allocations for residential and landscape services provided in Schedule 14.1 be revised to match the 20% water use reduction standard set by SCVWD. The revised allocations for residential customers are shown in the table below.

¹ The CPUC's Division of Water and Audits approval of SJWC's Schedule 14.1 was ultimately affirmed by the CPUC via Resolution W-5074

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| Residential Allocation Plan | | | | |
|-----------------------------|-------------------|------------|------------|--|
| | 2013 | Monthly | Monthly | |
| 2013 | Average Monthly | Drought | Drought | |
| Base Year | Residential Usage | Allocation | Allocation | |
| | (ccf) | (ccf) | (gallons) | |
| | | | | |
| Jan* | 10 | 9 | 6,732 | |
| Feb* | 9 | 9 | 6,732 | |
| Mar* | 9 | 9 | 6,732 | |
| Apr | 11 | 9 | 6,732 | |
| May | 14 | 12 | 8,976 | |
| Jun | 16 | 13 | 9,724 | |
| Jul | 19 | 16 | 11,968 | |
| Aug | 19 | 16 | 11,968 | |
| Sep | 19 | 16 | 11,968 | |
| Oct | 17 | 14 | 10,472 | |
| Nov | 17 | 14 | 10,472 | |
| Dec | 14 | 12 | 8,976 | |

* Monthly Drought Allocation based on minimum average usage for a residential household

For identified landscape services the Drought Allocation is based on the individual landscape customer's monthly usage during the base year 2013 less the reduction percentage required. In this case the reduction is 20%. Thus, for dedicated landscape services the Drought Allocation is essentially 80% of the 2013 usage for each specific service.

All other aspects of the CPUC approved Rule 14.1 and Schedule 14.1 will remain unchanged.

Effective Date

It was necessary for SJWC to delay the filing of this advice letter until after the CPUC had adoped Resolution W-5103 on June 23rd. Tier 2 advice letters typically require a 30 day review period per General Order 96B, which in this instance would provide an effective date of July 24th. However, both the Emergency Regulations adopted by the State Water Resources Control Board and the water use reduction target set by the SCVWD become effective on July 1st. Therefore SJWC requests expedited review and approval of this advice letter so that the revised Rule 14.1 and Schedule 14.1 can become effective on July 1st.

Protests and Responses

Anyone may respond to or protest this advice letter. A response does not oppose the filing but presents information that may prove useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding;
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission).

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor California Public Utilities Commission, 505 Van Ness Avenue San Francisco, CA 94102 water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy of the protest by mail to us, addressed to:

Regulatory Affairs San Jose Water Company 110 West Taylor Street San Jose, California 95196 Fax 408.279.7934 regulatoryaffairs@sjwater.com.

The advice letter process does not provide for any responses, protests or comments, except for the utility's reply, after the 20-day comment period.

In compliance with Paragraph 4.3 of General Order 96-B, a copy of this advice letter has been mailed to all interested and affected parties as detailed in Attachment C.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Very truly yours,

/S/ WES OWENS WES OWENS Director of Regulatory Affairs

SAN JOSE WATER COMPANY (U-168-W) Advice Letter No. 491

Attachment C Page 1 of 2

A copy of Advice Letter No. 491 has been sent to the following municipalities, water companies and interested parties:

City of San Jose Municipal Water Dept. Attn: Mansour Nasser 3025 Tuers Road San Jose, CA 95121

California Water Service Co. Attn: Regulatory Affairs 1720 North First Street San Jose, CA 95112

City of Cupertino 10300 Torre Avenue Cupertino, CA 95014

City of Campbell 70 North First Street Campbell, CA 95008

City of Santa Clara 1500 Warburton Avenue Santa Clara, CA 95050

Great Oaks Water Company P.O. Box 23490 San Jose, CA 95153

City of Milpitas Attn: Utilities Engineering 455 East Calaveras Blvd. Milpitas, CA 95035

Santa Clara Valley Water District 5750 Almaden Expressway San Jose, CA 95118 San Jose Mercury News Attn: Paul Rogers 4 N. Second St.,Suite 800 San Jose, CA 95113

Town of Los Gatos Attn: Director of Public Works 110 E. Main Street Los Gatos, CA 95032

City of Monte Sereno Attn: Director of Public Works 18014 Saratoga-Los Gatos Road Monte Sereno, CA 95030

City of Saratoga Attn: Director of Public Works 13777 Fruitvale Avenue Saratoga, CA 95070

County of Santa Clara 70 W. Hedding Street San Jose, CA 95110

Department of Water Resources Safe Drinking Water Office, Room 804 1416 9TH Street Sacramento, CA 95814

James M. Fiedler P.E., D.WRE Chief Operating Officer Water Utility Enterprises Santa Clara Valley Water District 5750 Almaden Expressway San Jose, CA 95118

SAN JOSE WATER COMPANY (U-168-W) Advice Letter No. 491

Attachment C Page 2 of 2

Brush & Old Well Mutual Water Company 20732 Brush Road Los Gatos, CA 95033

Bob Burke Regulatory Liaison For The Six Mutual Water Companies 420 Alberto Way, Unit 49 Los Gatos, Ca 95032

Brush & Old Well Mutual Water Company 21105 Brush Road Los Gatos, CA 95033

Gillette Mutual Water Company 21976 Gillette Drive Los Gatos, CA 95033

Oakmount Mutual Water Company P.O. Box 31536 Stockton, CA 95213

Summitt West Mutual Water Company P.O. Box 974 Los Gatos, CA 95031

Ridge Mutual Water Company 22316 Citation Drive Los Gatos, CA 95033

Villa Del Monte Mutual Water Company P.O. Box 862 Los Gatos, CA 95031

Big Redwood Park Water & Improvement Assoc. 18375 Main Blvd Los Gatos, CA 95033 PO Box 591 Redwood Estates, CA 95044-0591

Stagecoach Mutual Water Co 21825 Stagecoach Road Los Gatos, CA 95033

Mt. Summit Mutual Water Co P.O. Box 3416 Saratoga, CA 95070

Mountain Springs Mutual Water Co. 17956 Greenwood Road Los Gatos, CA 95033

Raineri Mutual Water Company P.O. Box 11 Los Gatos, CA 95031

James Hunter 6475 Dwyer Street San Jose, CA 95120

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SCHEDULE No. 14.1 WATER SHORTAGE CONTINGENCY PLAN WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (Continued)

STAGE 2 -WATER REDUCTION NEEDED (Continued):

- 3. Use of potable water for construction purposes, including washing streets, backfill, and dust control, if other actions to accomplish the same purposes without water are feasible and/or permitted or if recycled water is reasonably available as determined by a government agency.
- 4. The failure to repair any leak, break, or other malfunction in a customer's domestic or outdoor water system within 72 hours of notification by the utility, unless other, specific arrangements are made with and agreed to by the utility.
- 5. Other restrictions on use of potable water as prescribed by the Commission, SJWC, or another governing body or agency.

STAGE 3 - CRITICAL WATER REDUCTION

Stage 3 Water Shortage occurs when the Stage 2 limitations are deemed insufficient to achieve identified water usage goals established by authorized government entities. The following restrictions will be enacted:

- Limits on Watering Days: Watering or irrigating of lawns, landscape or other vegetated area with potable water is limited to three days per week. Irrigation will be allowed on Mondays, Thursdays and Saturdays for odd numbered and numberless addresses and will be allowed on Tuesday, Friday and Sunday for even numbered addresses.
- 2. The use of potable water to refill residential swimming pools or outdoor spas more than one foot or initial filling with potable water except when existing pools are drained to repair leaks.
- 3. Limits on Filling Ornamental Lakes or Ponds: prohibition of the use of potable water for filling or re-filling decorative fountains, ornamental lakes or ponds except to the extent needed to sustain aquatic life, provided that such animals are of significant value and have been actively managed within the water feature prior to declaration of a supply shortage level under Rule 14.1
- 4. Use of potable water for washing of vehicles, except at a commercial car washing facility that utilizes a re-circulating water system to capture or reuse water. Use of potable water for washing commercial aircraft, cars, buses, boats, trailers, or other commercial vehicles at any time, except at commercial or fleet vehicle or boat washing facilities operated at a fixed location where equipment using water is recirculated to avoid wasteful use.
- 5. Other restrictions on use of potable water as prescribed by the Commission, SJWC, or another governing body or agency.

STAGE 4 - EMERGENCY WATER REDUCTION

Stage 4 (Emergency Water Reduction) occurs when the Stage 3 limitations are deemed insufficient to achieve identified water usage goals established by authorized government entities. The following restrictions, in addition to those provided for in the preceding stages, will be enacted:

| | (Continued) | |
|-----------------------------|-------------------------------------|---------------------------------|
| (To be inserted by utility) | Issued by | (To be inserted by Cal. P.U.C.) |
| Advice No. <u>491</u> | PALLE JENSEN Sr. Vice President, | Date Filed Effective |
| Dec. No | Regulatory Affairs TITLE | Resolution No. |

San Jose, California

Canceling Original

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SCHEDULE No. 14.1 WATER SHORTAGE CONTINGENCY PLAN WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (Continued)

NOTICE (Continued)

- a. SJWC shall consult with the Division of Water and Audits staff prior to filing the advice letter, in order to determine the details of the public meeting.
- 2. If activation of Schedule No. 14.1 occurs one year or more since the public hearing associated with adding Schedule No. 14.1 to its tariffs, then the utility shall conduct a public hearing pursuant to California Water Code Section 351 prior to activating the rationing stage.
- 3. During the period that a stage of Schedule No. 14.1 is activated, SJWC shall provide customers with updates regarding its water supply status and the results of customers' conservation and water use reduction efforts.
- 4. During the period that a stage of Schedule No. 14.1 is activated, SJWC shall provide customers with updates regarding its water supply status and the results of customers' conservation and water use reduction efforts.

DROUGHT ALLOCATIONS AND DROUGHT SURCHARGES

Residential

The Drought Allocation for residential customers served under all potable tariff rate schedules is based on average residential customer usage in the Base Year 2013 for each month, less the percentage of conservation being required. In this instance, the required percentage reduction is 20%, so the Drought Allocation is 80% of the year 2013 Average Monthly Residential Usage for the month. Allocations falling between full hundreds of cubic feet (ccf) will be rounded up to the next full one hundred cubic feet.

| Residential Allocation Plan | | | | |
|-----------------------------|---|--|--|--|
| 2013 Base Year | 2013 Average Monthly Residential Usage (ccf) | Monthly Drought Allocation (ccf) | | |
| Jan* | 10 | 9 | | |
| Feb* | 9 | 9 | | |
| Mar* | 9 | 9 | | |
| Apr* | 11 | 9 | | |
| May | 14 | 12 | | |
| Jun | 16 | 13 | | |
| Jul | 19 | 16 | | |
| Aug | 19 | 16 | | |
| Sep | 19 | 16 | | |
| Oct | 17 | 14 | | |
| Nov | 17 | 14 | | |
| Dec | 14 | 12 | | |

*Minimum Monthly Drought Allocation based on minimum average usage for a residential household

(Continued)

| (To be inserted by utility) | Issued by | (To be inserted by Cal. P.U.C.) |
|-----------------------------|---------------------|---------------------------------|
| Advice No. <u>491</u> | PALLE JENSEN | Date Filed |
| | Sr. Vice President, | Effective |
| Dec. No | Regulatory Affairs | Resolution No. |
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SCHEDULE No. 14.1 WATER SHORTAGE CONTINGENCY PLAN WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (Continued)

DROUGHT ALLOCATIONS AND DROUGHT SURCHARGES (continued)

Landscape Services

The Drought Allocation for each landscape customer served under all potable tariff rate schedules is based upon the individual landscape customer's monthly usage during the Base Year 2013. The Monthly Drought Allocation is then calculated as 100% of monthly usage during Base Year 2013 minus the reduction percentage required. In this instance, a 20% required reduction percentage results in a 80% allocation. Allocations falling between full one hundreds of cubic feet will be rounded up to the next full hundred cubic feet.

DROUGHT ALLOCATION ADJUSTMENTS

- 1. Any customer who seeks a variance from any of the provisions of this water shortage contingency plan shall notify the utility in writing, explaining in detail the reason for such a variation. The utility shall respond to each such request in writing.
- 2. Any customer not satisfied with the utility's response may file an appeal with the Director of the Division of Water & Audits.
- 3. If the customer disagrees with such disposition, the customer shall have the right to file a formal complaint with the Commission. Except as set forth in this Section, no person shall have any right or claim in law or in equity, against the utility because of, or as a result of, any matter or thing done or threatened to be done pursuant to the provisions of the Water Shortage Contingency Plan.

DROUGHT SURCHARGE

Excess water usage associated with exceeding the Monthly Drought Allocation will result in a Drought Surcharge. The Drought Surcharge will be assessed in addition to all other base charges allowed under the applicable tariffs authorized by the CPUC. The Drought Surcharge will be applied to the customer's bill based on the amount of usage that exceeds the Drought Allocation as follows:

| For Residential Customers: Excess usage over r up to 2013 Average | nonthly Drought Allocation Monthly Usage | \$3.5634 per ccf |
|---|---|---------------------------------|
| Excess usage over 2 | 2013 Average Monthly | \$7.1268 per ccf |
| For Landscape Customers: Excess usage over r up to 2013 Average | nonthly Drought Allocation Monthly Usage | \$3.5634 per ccf |
| Residential Usage | 2013 Average Monthly Continued) | \$7.1268 per ccf |
| (To be inserted by utility) | Issued by | (To be inserted by Cal. P.U.C.) |
| Advice No. <u>491</u> | PALLE JENSEN Sr. Vice President, | Date Filed Effective |
| Dec. No. | Regulatory Affairs | Resolution No. |

TITLE

RULE NO. 14.1 WATER SHORTAGE CONTINGENCY PLAN

STAGE 3 (CRITICAL WATER REDUCTION): Stage 3 Water Shortage occurs when the Stage 2 limitations are deemed insufficient to achieve identified water usage goals established by authorized government entities. The following restrictions will be enacted:

- Limits on Watering Days: Watering or irrigating of lawns, landscape or other vegetated areas (I) with potable water is limited to three days per week. Irrigation will be allowed on Mondays, Thursdays and Saturdays for odd numbered and numberless addresses and will be allowed on Tuesdays, Fridays and Sundays for even numbered addresses. (I)
- 2. The use of potable water to refill residential swimming pools or outdoor spas more than one foot or initial filling with potable water except when existing pools are drained to repair leaks.
- 3. Limits on Filling Ornamental Lakes or Ponds: prohibition of the use of potable water for filling or re-filling decorative fountains, ornamental lakes or ponds except to the extent needed to sustain aquatic life, provided that such animals are of significant value and have been actively managed within the water feature prior to declaration of a supply shortage level under this Rule.
- 4. Use of potable water for washing of vehicles, except at a commercial car washing facility that utilizes a re-circulating water system to capture or reuse water. Use of potable water for washing commercial aircraft, cars, buses, boats, trailers, or other commercial vehicles at any time, except at commercial or fleet vehicle or boat washing facilities operated at a fixed location where equipment using water is recirculated to avoid wasteful use.
- 5. Other restrictions on use of potable water as prescribed by the Commission, SJWC, or another governing body or agency.

STAGE 4 (EMERGENCY WATER REDUCTION): Stage 4 Water Shortage occurs when the Stage 3 limitations are deemed insufficient to achieve identified water usage goals established by authorized government entities. The following restrictions will be enacted:

- 1. No Watering or Irrigating: Watering or irrigation of lawn, landscape or other vegetated area with potable water is prohibited. This restriction does not apply to the following categories of use:
 - i. Maintenance of vegetation, including trees and shrubs, that are watered using a hand-held bucket or similar container, hand-held hose equipped with a positive action shut-off nozzle or device;
 - ii. Maintenance of existing landscape necessary for fire protection;
 - iii. Maintenance of existing landscape for soil erosion control;
 - iv. Maintenance of plant materials identified to be rare or essential to the well-being of a protected species;
 - v. Maintenance of landscape within active public parks and playing fields, day care centers, golf course greens, fringes and tee boxes, and school grounds, provided that such irrigation does not exceed 2 days per week.
- 2. The failure to repair any leak, break, or other malfunction in a customer's domestic or outdoor water system within 48 hours of notification by the utility, unless other, specific arrangements are made with and agreed to by the utility.
- Other restrictions on use of potable water as prescribed by the Commission, SJWC, or another governing body or agency. (Continued)

| (To be inserted by utility) | Issued by | (To be inserted by Cal. P.U.C.) |
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| Advice No. <u>491</u> | PALLE JENSEN | Date Filed |
| Dec. No. | Sr. Vice President, Regulatory Affairs | Effective Resolution No. |
| | TITLE | |

| SAN JOSE WATER COMPANY (U168 San Jose, California | 3W) Canceling | <u>Revised</u> Revised | Cal. P.U.C. Sheet No. Cal. P.U.C. Sheet No. | |
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| | , , , | | | |
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| To be inserted by utility) | Issued by | () | To be inserted by Cal. P.U. | C.) |
| Advice No. <u>491</u> | PALLE JENSEN | Date | Filed | |
| | Sr. Vice President, | | tive | |
| Dec. No. | Regulatory Affairs | Deee | lution No. | |

| SAN JOSE WATER COMPANY (U168 | | | Cal. P.U.C. Sheet No | |
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| No. 7 - Deposits | | | 355-W and 356-W | |
| No. 8 - Notices | | | 1054-W and 825-W | |
| No. 9 - Rendering and Payment of | Bills (Continue | | V, 997-W and 1146-W | |
| (To be inserted by utility) | Ìssued by | | o be inserted by Cal. P. | |
| (TO DE INSERTED DY UTILITY) | issued by | (1 | o be inserted by Cal. P. | , |
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| Advice No. <u>491</u> | PALLE JENSEN | | Filed | |
| Advice No. <u>491</u> Dec. No | PALLE JENSEN Sr. Vice President, Regulatory Affairs | Effect | Filed ive ution No | |