



**San Jose
Water
Company**

110 West Taylor Street
San Jose, CA 95110
Phone 408 279-7900
Fax 408 279-7934

December 15, 2015

Public Utilities Commission
of the State of California
505 Van Ness Avenue
San Francisco, CA 94102

Advice Letter No. 481

The San Jose Water Company (U-168-W) (SJWC) hereby transmits for filing the following changes in tariff schedules applicable to its service area and which are attached here to:

<u>Cal. P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Cal. P.U.C. Sheet No.</u>
1704-W	Schedule No. 1 General Metered Service (Continued)	1678-W
1705-W	Schedule No. 1 General Metered Service (Continued)	1692-W
1706-W	Schedule No. 1B General Metered Service with Automatic Fire Sprinkler System (Continued)	1693-W
1707-W	Schedule No. 1C General Metered Service Mountain District (Continued)	1633-W
1708-W	Schedule No. 1C General Metered Service Mountain District (Continued)	1694-W
1709-W	Schedule No. RW Raw Water Metered Service (Continued)	1695-W

1710-W

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1703-W

These tariffs are submitted pursuant to General Orders Nos. 96-B – Water Industry Rule 7.3.1(3) and pursuant to the authority established by the California Public Utilities Commission (Commission) in Decision (D.) 14-08-006, dated August 14, 2014 (**Attachment A**). This advice letter is designated a Tier I Advice Letter in compliance with GO 96B.

Effective Date

SJWC requests that the proposed surcharges become effective January 15, 2016.

Purpose

With this advice letter SJWC seeks to re-implement a \$0.0492 per 100 cu. ft surcharge to amortize the uncollected balance of \$589,751 remaining from the recovery originally approved in D.14-08-006.

Background

SJWC filed Application 12-01-003 on January 3, 2012. In the Application SJWC sought, among other items, CPUC authorization to increase rates for the Test Year 2013 and Escalation Years 2014 and 2015. On August 14th, 2014, the Commission issued D.14-08-006 authorizing rate increases for the years outlined above as well as the recovery of the under-collection of various balancing accounts and the refund of various memorandum accounts.

Ordering Paragraph No.8 of D.14-08-006 (included in Attachment A), states that:

“8. San Jose Water Company is authorized to recover the \$2,598,912 under-collection in various balancing accounts via a 12-month surcharge of \$0.0492 per hundred cubic feet, and provide a refund of \$650,456 under-collection through a surcredit of \$0.25 per service connection per month for 12 months for various memorandum accounts.”

On August 18, 2014, SJWC submitted Advice Letter 463 to implement the surcharge for recovery of the under-collection of the balancing accounts and a surcredit to refund the over-collection of the various memorandum accounts with an effective date of August 15, 2014. This advice letter was subsequently approved effective August 15, 2014.

On August 14th, 2015, twelve months from initial effective date of the balancing account surcharge implementation, the \$0.0492 per 100 ccf surcharge was terminated as required by the

ordering paragraph above. Upon the surcharge termination there was a remaining under-collected balance of \$589,751 (**Attachment B**). This under-collection is due primarily to actual sales being substantially lower than the Commission authorized sales estimate which was used to calculate the surcharge amount.

With this Advice Letter SJWC seeks to re-implement the \$0.0492 per 100 ccf surcharge to recover the remaining \$589,751 balance from the balancing accounts. If the remaining undercollection of the Balancing Account balance is not amortized until SJWC's next General Rate Case (for Test Year 2019) this will create intergenerational inequity brought about by customers in 2019 paying for revenue shortfall incurred in 2010/2012. Clearly, some intergenerational inequity already exists, however, by allowing re-implementation of the Balancing Account associated surcharge the Commission will help mitigate this effect. SJWC recommends maintaining the surcharge at \$0.0492 per ccf to avoid customer confusion that would be caused by fluctuating surcharge levels. SJWC estimates that, with an effective date of January 15, 2016, the under-collected balance of the account would be recovered within approximately 6 months. SJWC's billing system will allow the company to monitor the account balance and terminate the surcharge when the balance has been collected.

Additionally, with this AL, SJWC will remove the memorandum account surcredit verbiage from the tariff sheets. On September 16th, 2015, thirteen months from initial effective date of the memorandum account surcredit implementation, the \$0.25 per service connection surcredit was terminated. While this was required by the ordering paragraph to be in effect for 12 months, given that this was a refund to customers the surcredit was continued until the over-collection was eliminated. Upon the surcredit termination there was a balance of \$4,018 which had been over-refunded to customers.

Public notice is not required as notice was completed at the time of SJWC's filing of A.12-01-003.

Rate Calculation and Average Bill Comparison

Rates became effective September 20, 2015 by AL 476.

As discussed above, SJWC proposes to re-implement the \$0.0492 per 100 ccf surcharge authorized by the Commission Decision 14-08-006. As a result of this surcharge the bill for a customer using 15 ccf per month will increase by \$0.74, or 0.86% (**Attachment C**).

Protests and Responses

- (1) The utility did not properly serve or give notice of the advice letter;

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA
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- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding;
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission).

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. When submitting a response or protest please include the utility name and advice letter number in the subject line. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue
San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy of the protest by mail to us, addressed to:

Regulatory Affairs
San Jose Water Company
110 West Taylor Street
San Jose, California 95110
Fax 408.279.7934
regulatoryaffairs@sjwater.com.

The advice letter process does not provide for any responses, protests or comments, except for the utility's reply, after the 20-day comment period.

In compliance with Paragraph 4.3 of General Order 96-B, a copy of this advice letter has been mailed to all interested and affected parties as detailed in **Attachment D**.

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SJWC currently has Advice Letters 477, 478, 479 and 480 outstanding before the Commission.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Very truly yours,

/S/ WES OWENS
WES OWENS
Manager of Regulatory Affairs

Enclosures

A copy of Advice Letter No. 481 has been sent to the following municipalities, water companies and interested parties:

City of San Jose
Municipal Water Dept.
Attn: Mansour Nasser
3025 Tuers Road
San Jose, CA 95121

Town of Los Gatos
Attn: Director of Public Works
110 E. Main Street
Los Gatos, CA 95032

California Water Service Co.
Attn: Regulatory Affairs
1720 North First Street
San Jose, CA 95112

City of Monte Sereno
Attn: Director of Public Works
18014 Saratoga-Los Gatos Road
Monte Sereno, CA 95030

City of Cupertino
10300 Torre Avenue
Cupertino, CA 95014

City of Saratoga
Attn: Director of Public Works
13777 Fruitvale Avenue
Saratoga, CA 95070

City of Campbell
70 North First Street
Campbell, CA 95008

County of Santa Clara
70 W. Hedding Street
San Jose, CA 95110

City of Santa Clara
1500 Warburton Avenue
Santa Clara, CA 95050

Department of Water Resources
Safe Drinking Water Office,
Room 804
1416 9TH Street
Sacramento, CA 95814

Great Oaks Water Company
P.O. Box 23490
San Jose, CA 95153

City of Milpitas
Attn: Utilities Engineering
455 East Calaveras Blvd.
Milpitas, CA 95035

James M. Fiedler P.E., D.WRE
Chief Operating Officer
Water Utility Enterprises
Santa Clara Valley Water District
5750 Almaden Expressway
San Jose, CA 95118

Santa Clara Valley Water
District
5750 Almaden Expressway
San Jose, CA 95118

Brush & Old Well Mutual
Water Company
20732 Brush Road
Los Gatos, CA 95030

San Jose Mercury News
Attn: Paul Rodgers
4 N. Second St., Suite 800
San Jose, CA 95113

Gillette Mutual Water Company
21976 Gillette Drive
Los Gatos, CA 95033

Redwood Estates Services Association
PO Box 591
Redwood Estates, CA 95044-0591

Bob Burke
Regulatory Liaison For The Six
Mutual Water Companies
420 Alberto Way, Unit 49
Los Gatos, Ca 95032

Stagecoach Mutual Water Co
21825 Stagecoach Road
Los Gatos, CA 95033

Brush & Old Well Mutual
Water Company
21105 Brush Road
Los Gatos, CA 95033

Mt. Summit Mutual Water Co
P.O. Box 3416
Saratoga, CA 95070

Oakmount Mutual Water Company
P.O. Box 31536
Stockton, CA 95213

Mountain Springs Mutual Water Co.
17956 Greenwood Road
Los Gatos, CA 95033

Summitt West Mutual Water Company
P.O. Box 974
Los Gatos, CA 95031

Raineri Mutual Water Company
P.O. Box 11
Los Gatos, CA 95031

Ridge Mutual Water Company
22316 Citation Drive
Los Gatos, CA 95033

James Hunter
6475 Dwyer Street
San Jose, CA 95120

Villa Del Monte Mutual Water Company
P.O. Box 862
Los Gatos, CA 95031

Marty Feldman
P.O. Box 537
Los Gatos, CA 95031

Big Redwood Park Water
& Improvement Assoc.
18522 Mt. View Avenue
Los Gatos, CA 95033

Schedule No. 1

GENERAL METERED SERVICE

(Continued)

6. To offset the July 2013 increase in Purchased Water from the Santa Clara Valley Water District, beginning August 15, 2014, as required by Section 792.5 of the Public Utilities Code, an increase in purchased water costs of \$58.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.0739 per 100 cu.ft., are being tracked in a reserve account.
7. To offset the July 2013 increase in Ground Water Charges from the Santa Clara Valley Water District, beginning August 15, 2014, as required by Section 792.5 of the Public Utilities Code, an increase in ground water charges of \$58.00 /acre-foot, relative to the ground water charges adopted by D.14-08-006, and associated revenue increase of \$0.0623 per 100 cu.ft., are being tracked in a reserve account.
8. To offset the July 2014 increase in Purchased Water from the Santa Clara Valley Water District, beginning August 15, 2014, as required by Section 792.5 of the Public Utilities Code, an increase in purchased water costs of \$67.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.0853 per 100 cu.ft., are being tracked in a reserve account.
9. To offset the July 2014 increase in Ground Water Charges from the Santa Clara Valley Water District, beginning August 15, 2014, as required by Section 792.5 of the Public Utilities Code, an increase in ground water charges of \$67.00 /acre-foot, relative to the ground water charges adopted by D.14-08-006, and associated revenue increase of \$0.0719 per 100 cu.ft., are being tracked in a reserve account.
10. To amortize the under-collection in Balancing Accounts, a surcharge of \$0.0492 per 100 cu.ft is to be added to the Quantity rate shown for a 6 month period beginning with the effective date of Advice Letter 481. (T)
I
(T)
(D)
11. To amortize the under-collection in the 2013 GRC Interim Rates Memorandum Account, a surcharge of \$0.2888 per 100 cu.ft is to be added to the Quantity rate shown for a 36 month period beginning with the effective date of Advice Letter 465-B. (L)
12. To amortize the under-collection in the 2013 GRC Interim Rates Memorandum Account (Rehearing), a surcharge of \$0.0360 per 100 cu.ft is to be added to the Quantity rate shown for a 12 month period beginning with the effective date of Advice Letter 471.
13. To offset the July 2015 increase in Purchased Water from the Santa Clara Valley Water District, as required by Section 792.5 of the Public Utilities Code, an increase in purchased water costs of \$147.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.1872 per 100 cu.ft., are being tracked in a reserve account. (L)

(continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice No. 481

PALLE JENSEN

Date Filed

Senior Vice President,

Effective

Dec. No.

Regulatory Affairs

Resolution No.

TITLE

Schedule No. 1

GENERAL METERED SERVICE
(Continued)

14. To offset the July 2015 increase in Ground Water Charges from the Santa Clara Valley Water District, as required by Section 792.5 of the Public Utilities Code, an increase in ground water charges of \$147.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.1578 per 100 cu.ft., are being tracked in a reserve account. (L)
15. To amortize the under-collection in the Mandatory Conservation Revenue Adjustment Memorandum Account, a surcharge of \$0.08 per 100 cu.ft. is to be added to the Quantity Rate shown for a 12-month period beginning with the effective date of Advice Letter 477. (L)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice No. 481

PALLE JENSEN

Date Filed

Senior Vice President,

Effective

Dec. No.

Regulatory Affairs

Resolution No.

TITLE

Schedule No. 1B

GENERAL METERED SERVICE WITH
AUTOMATIC FIRE SPRINKLER SYSTEM
(Continued)

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|--|-------------------------------|
| 11. To amortize the under-collection in Balancing Accounts, a surcharge of \$0.0492 per 100 cu.ft is to be added to the Quantity rate shown for a 6 month period beginning with the effective date of Advice Letter 481. | (T)
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(D)
(L) |
| 12. To amortize the under-collection in the 2013 GRC Interim Rates Memorandum Account, a surcharge of \$0.2888 per 100 cu.ft is to be added to the Quantity rate shown for a 36 month period beginning with the effective date of Advice Letter 465-B. | |
| 13. To amortize the under-collection in the 2013 GRC Interim Rates Memorandum Account (Rehearing), a surcharge of \$0.0360 per 100 cu.ft is to be added to the Quantity rate shown for a 12 month period beginning with the effective date of Advice Letter 471. | |
| 14. To offset the July 2015 increase in Purchased Water from the Santa Clara Valley Water District, as required by Section 792.5 of the Public Utilities Code, an increase in purchased water costs of \$147.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.1872 per 100 cu.ft., are being tracked in a reserve account. | |
| 15. To offset the July 2015 increase in Ground Water Charges from the Santa Clara Valley Water District, as required by Section 792.5 of the Public Utilities Code, an increase in ground water charges of \$147.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.1578 per 100 cu.ft., are being tracked in a reserve account. | |
| 16. To amortize the under-collection in the Mandatory Conservation Revenue Adjustment Memorandum Account, a surcharge of \$0.08 per 100 cu.ft. is to be added to the Quantity Rate shown for a 12-month period beginning with the effective date of Advice Letter 477. | (L) |

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PALLE JENSEN

Date Filed

Senior Vice President,

Effective

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Regulatory Affairs

Resolution No.

TITLE

Schedule No. 1C

GENERAL METERED SERVICE
Mountain District
(Continued)

10. To offset the July 2014 increase in Purchased Water from the Santa Clara Valley Water District, beginning August 15, 2014, as required by Section 792.5 of the Public Utilities Code, an increase in purchased water costs of \$67.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.0853 per 100 cu.ft., are being tracked in a reserve account.
11. To offset the July 2014 increase in Ground Water Charges from the Santa Clara Valley Water District, beginning August 15, 2014, as required by Section 792.5 of the Public Utilities Code, an increase in ground water charges of \$67.00 /acre-foot, relative to the ground water charges adopted by D.14-08-006, and associated revenue increase of \$0.0719 per 100 cu.ft., are being tracked in a reserve account.
12. To amortize the under-collection in Balancing Accounts, a surcharge of \$0.0492 per 100 cu.ft is to be added to the Quantity rate shown for a 6 month period beginning with the effective date of Advice Letter 481. (T)
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(T)
(D)
13. To amortize the under-collection in the 2013 GRC Interim Rates Memorandum Account, a surcharge of \$0.2888 per 100 cu.ft is to be added to the Quantity rate shown for a 36 month period beginning with the effective date of Advice Letter 465-B. (L)
14. To amortize the over-collection of the Schedule 1C elevation charge within the 2013 GRC Interim Rates Memorandum Account, a one-time refund of \$105.03 per service connection will be added to the bills of all non-mutual customers beginning with the effective date of Advice Letter 465-B.
15. To amortize the over-collection of the Schedule 1C service charges collected from the Mutual Water Companies within the 2013 GRC Interim Rates Memorandum Account, a one-time refund is to be added to the bills of the Mutual Water Companies listed below beginning with the effective date of Advice Letter 465-B as follows:
- | <u>Mutual:</u> | <u>One-time Refund</u> |
|--|------------------------|
| Brush & Old Well Mutual Water | \$12,253 |
| Oakmont Mutual Water Co. | \$10,848 |
| Stagecoach Mutual Water Co. | \$2,355 |
| Summit West Mutual Water Co. | \$68,380 |
| Ridge Mutual Water Co. | \$32,621 |
| Villa Del Monte Mutual Water Co. | \$49,273 |
| Big Redwood Park Water & Improvement | \$24,486 |
| Mountain Summit Mutual Water Co | \$1,876 |
- (L)

(Continued)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice No. 481

PALLE JENSEN

Date Filed _____

Senior Vice President,

Effective _____

Dec. No. _____

Regulatory Affairs

Resolution No. _____

TITLE

Schedule No. 1C

GENERAL METERED SERVICE
Mountain District
(Continued)

16. To amortize the under-collection in the 2013 GRC Interim Rates Memorandum Account (Rehearing), a surcharge of \$0.0360 per 100 cu.ft is to be added to the Quantity rate shown for a 12 month period beginning with the effective date of Advice Letter 471. (L)

17. To amortize the over-collection of the Schedule 1C elevation charge within the 2013 GRC Interim Rates Memorandum Account (Rehearing), a one-time refund of \$3.06 per service connection will be added to the bills of all non-mutual customers beginning with the effective date of Advice Letter 471.

18. To amortize the over-collection of the Schedule 1C service charges collected from the Mutual Water Companies within the 2013 GRC Interim Rates Memorandum Account (Rehearing), a one-time refund is to be added to the bills of the Mutual Water Companies listed below beginning with the effective date of Advice Letter 471 as follows:

<u>Mutual:</u>	<u>One-time Refund</u>
Brush & Old Well Mutual Water	\$265
Gillette Mutual Water Co.	\$42
Oakmont Mutual Water Co.	\$116
Stagecoach Mutual Water Co.	\$30
Summit West Mutual Water Co.	\$402
Ridge Mutual Water Co.	\$352
Villa Del Monte Mutual Water Co.	\$455
Big Redwood Park Water & Improvement	\$177
Mountain Summit Mutual Water Co	\$20

19. To offset the July 2015 increase in Purchased Water from the Santa Clara Valley Water District, as required by Section 792.5 of the Public Utilities Code, an increase in purchased water costs of \$147.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.1872 per 100 cu.ft., are being tracked in a reserve account.

20. To offset the July 2015 increase in Ground Water Charges from the Santa Clara Valley Water District, as required by Section 792.5 of the Public Utilities Code, an increase in ground water charges of \$147.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.1578 per 100 cu.ft., are being tracked in a reserve account.

21. To amortize the under-collection in the Mandatory Conservation Revenue Adjustment Memorandum Account, a surcharge of \$0.08 per 100 cu.ft. is to be added to the Quantity Rate shown for a 12-month period beginning with the effective date of Advice Letter 477. (L)

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice No. 481

PALLE JENSEN

Date Filed _____

Senior Vice President,

Effective _____

Dec. No. _____

Regulatory Affairs

Resolution No. _____

TITLE

Schedule No. RW

RAW WATER METERED SERVICE
(Continued)

11. To amortize the under-collection in Balancing Accounts, a surcharge of \$0.0492 per 100 cu.ft is to be added to the Quantity rate shown for a 6 month period beginning with the effective date of Advice Letter 481. (T)
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(T)
(D)
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15. To offset the July 2015 increase in Ground Water Charges from the Santa Clara Valley Water District, as required by Section 792.5 of the Public Utilities Code, an increase in ground water charges of \$147.00 /acre-foot, relative to the purchase water costs adopted by D.14-08-006, and associated revenue increase of \$0.1578 per 100 cu.ft., are being tracked in a reserve account.
16. To amortize the under-collection in the Mandatory Conservation Revenue Adjustment Memorandum Account, a surcharge of \$0.08 per 100 cu.ft. is to be added to the Quantity Rate shown for a 12-month period beginning with the effective date of Advice Letter 477. (L)

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Regulatory Affairs

Resolution No.

TITLE

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The following listed tariff sheets contain all effective rates, rules and regulations affecting the rates and service of the Utility, together with information relating thereto:

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(Continued)

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