

CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS Advice Letter Cover Sheet				(Date Filed / Received Stamp by CPUC)	
AL # 470		Date Mailed to Service List: 4/30/2015		Requested Effective Date: N/A – Information Only	
Replacing AL#:		Authorized by:		Requested Tier:	
				Tier 1 Tier 2 XX Tier 3	
Compliance Filing?		Rate Impact		\$	N/A
				%	N/A
<u>If you chose to protest or respond to the advice letter, send Protest and/or Correspondence within 20 days to:</u>				Director Division of Water and Audits 505 Van Ness Ave. San Francisco, CA 94102	
<u>and if you have email capability, also email to:</u> regulatoryaffairs@sjwater.com				water_division@cpuc.ca.gov	
<u>Your protest also must be served on the Utility</u>				(see attached advice letter for more information and grounds for protest)	
Company Name: San Jose Water Company					CPUC Utility Number: WTA _____ WTB _____ WTC _____ WTD _____ SWR _____
Address: 110 W. Taylor Street					
City, State, Zip: San Jose, CA 95110					
Contact Name:		Phone No.		Fax No.	
Filer	Wes Owens	408-918-7247	408-279-7934	Email Address: wes.owens@sjwater.com	
Alternate	Ann Lindahl	408-279-7979	Same	ann.indahl@sjwater.com	
Description: In this space or on the back of this form: 1. Explain justification for requested Tier – Rule VIII.D Affiliate Transaction Rules 2. Describe service affected and how it is affected tariffs – N/A 3. Describe differences from related Advice Letters (Similar service, replacement filing):					
(FOR CPUC USE ONLY)					
WTS Budget/Activity/Type			Process as: <input type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3		
_____/_____/_____			20th Day		30th Day
Project Manager:			Suspended on:		
Analyst:			Extended on:		
Due Date:			Resolution No.:		
Completion Date:			AL/Tariff Effective Date:		



110 W. Taylor St.
San Jose, CA 95110
Phone 408 279-7900
Fax 408 279-7934

April 30, 2015

Public Utilities Commission
of the State of California
505 Van Ness Avenue
San Francisco, CA 94102

Advice Letter No. 470

The San Jose Water Company (U-168-W) (SJWC) hereby transmits an information only filing providing notification of the creation of a new affiliate.

Purpose

The California Public Utilities Commission's Rule for Water and Sewer Utilities Regarding Affiliate Transaction and the Use of Regulated Assets for Non-Tariffed Utility Services¹ ("Affiliate Rules") Rule VIII.D provides that:

"Upon the creation of a new affiliate, the utility shall immediately notify the Commission of its creation, as well as posting notice of this event on its web site. No later than 60 days after the creation of this affiliate, the utility shall file a Tier 3 advice letter an information-only filing, as provided for in Rule 6.1 of General Order 96-B, with the Director of the Commission's Division of Water and Audits, with service on the Director of the Division of Ratepayer Advocates. The advice letter shall state the affiliate's purpose or activities and whether the utility claims these Rules are applicable to the new affiliate, and shall include a demonstration to the Commission that there are adequate procedures in place that will assure compliance with these Rules. The advice letter may include a request, including supporting explanation, that the affiliate transaction rules not be applied to the new affiliate. If the utility requests that the affiliate transactions rules not be applied to the new affiliate, in lieu of an information-only filing, the utility shall file a Tier 2 advice letter making such a request, including an explanation of why these Rules should not apply to the new affiliate".

With this advice letter SJWC hereby provides the required information-only filing.

Affiliate Creation

On March 4th, 2015, SJW Group, Inc. became a wholly owned subsidiary of SJW Corp. SJW Corp is SJWC's parent company as defined by the Affiliate Rules. The purpose of SJW Group, Inc. is to engage in any lawful act or activity for which corporations may be organized under the

¹ As adopted in Decision 11-10-034

General Corporation Law of the State of Delaware. On April 29, 2015, SJWC shareholders approved the reincorporation of SJW Corp. from California to Delaware by means of a merger with and into the wholly-owned subsidiary SJW Group, Inc.

SJWC claims that the Affiliate Rules are applicable to the new affiliate. SJWC's Affiliated Transactions Procedures (last provided to the Commission with SJWC's Annual Report) will be updated to include the new affiliate, thus ensuring compliance with the Affiliate Rules.

Tier Designation

Pursuant to Rule VIII.D of the Affiliate Transaction Rules, this advice letter is submitted with a Tier 3 designation.

Effective Date

This advice letter is provided as an information only filing with no effective date.

This filing will not raise any rate, cause the withdrawal of service, nor conflict with other schedules or rules.

Currently SJWC has Advice Letters 468 (suspended) and 469 pending before the Commission.

Protests and Responses

Anyone may respond to or protest this advice letter. A response does not oppose the filing but presents information that may prove useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding;
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission).

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue
San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy of the protest by mail to us, addressed to:

Regulatory Affairs
San Jose Water Company
110 West Taylor Street
San Jose, California 95196
Fax 408.279.7934
regulatoryaffairs@sjwater.com.

The advice letter process does not provide for any responses, protests or comments, except for the utility's reply, after the 20-day comment period.

Public notice of this filing is not required. In compliance with Paragraph 4.3 of General Order 96-B, a copy of this advice letter has been mailed to all interested and affected parties as detailed in Attachment A.

Very truly yours,

/S/ WES OWENS
WES OWENS
Manager of Regulatory Affairs

A copy of Advice Letter No. 470 has been sent to the following municipalities, water companies and interested parties:

City of San Jose
Municipal Water Dept.
Attn: Mansour Nasser
3025 Tuers Road
San Jose, CA 95121

San Jose Mercury News
Attn: Paul Rogers
750 Ridder Park Drive
San Jose, CA 95190

California Water Service Co.
Attn: Regulatory Affairs
1720 North First Street
San Jose, CA 95112

Town of Los Gatos
Attn: Director of Public Works
110 E. Main Street
Los Gatos, CA 95032

City of Cupertino
10300 Torre Avenue
Cupertino, CA 95014

City of Monte Sereno
Attn: Director of Public Works
18014 Saratoga-Los Gatos Road
Monte Sereno, CA 95030

City of Campbell
70 North First Street
Campbell, CA 95008

City of Saratoga
Attn: Director of Public Works
13777 Fruitvale Avenue
Saratoga, CA 95070

City of Santa Clara
1500 Warburton Avenue
Santa Clara, CA 95050

County of Santa Clara
70 W. Hedding Street
San Jose, CA 95110

Great Oaks Water Company
P.O. Box 23490
San Jose, CA 95153

City of Milpitas
Attn: Utilities Engineering
455 East Calaveras Blvd.
Milpitas, CA 95035

Department of Water Resources
Safe Drinking Water Office,
Room 804
1416 9TH Street
Sacramento, CA 95814

Santa Clara Valley Water
District
5750 Almaden Expressway
San Jose, CA 95118

James M. Fiedler P.E., D.WRE
Chief Operating Officer
Santa Clara Valley Water District
5750 Almaden Expressway
San Jose, CA 95118

Brush & Old Well Mutual
Water Company
20732 Brush Road
Los Gatos, CA 95033

Big Redwood Park Water
& Improvement Assoc.
18375 Main Blvd
Los Gatos, CA 95033

Bob Burke
Regulatory Liaison For The Six
Mutual Water Companies
420 Alberto Way, Unit 49
Los Gatos, Ca 95032

Redwood Estates Services Association
PO Box 591
Redwood Estates, CA 95044-0591

Brush & Old Well Mutual
Water Company
21105 Brush Road
Los Gatos, CA 95033

Stagecoach Mutual Water Co
21825 Stagecoach Road
Los Gatos, CA 95033

Gillette Mutual Water Company
21976 Gillette Drive
Los Gatos, CA 95033

Mt. Summit Mutual Water Co
P.O. Box 3416
Saratoga, CA 95070

Oakmount Mutual Water Company
P.O. Box 31536
Stockton, CA 95213

Mountain Springs Mutual Water Co.
17956 Greenwood Road
Los Gatos, CA 95033

Summitt West Mutual Water Company
P.O. Box 974
Los Gatos, CA 95031

Raineri Mutual Water Company
P.O. Box 11
Los Gatos, CA 95031

Ridge Mutual Water Company
22316 Citation Drive
Los Gatos, CA 95033

Villa Del Monte Mutual Water Company
P.O. Box 862
Los Gatos, CA 95031

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